

### CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

I, Joyce D. Brown, hereby certify that this correspondence, pursuant to 37 C.F.R. §1.8, is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, Washington, D.C. 20231, on:

Date: April 10, 2002

APR 2 2 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

oplicant(s):

Nuttall, et al

Docket No.:

46030.00030

Application No.:

10/041,906

Group Art Unit:

2161

Filing Date:

October 18, 2001

Examiner:

Not Yet Assigned

TITLE

SYSTEM AND METHODS PROVIDING SECURE DELIVERY OF

LICENSES AND CONTENT

### TRANSMITTAL OF PRELIMINARY AMENDMENT

RECEIVED

Commissioner for Patents Washington, D.C. 20231

APR 2 5 2002

Commissioner:

**Technology Center 2100** 

Herewith is a Preliminary Amendment in the above-identified application.

#### Enclosures:

[X] Preliminary Amendment

Formal Drawing (Figures 1-26I – 31 sheets) [X]

Assignment and Cover Sheet [X]

# FEE CALCULATION, CLAIMS AS AMENDED:

	Claims remaining after amendment		Highest number previously paid for		Present Extra				Additional Fee
Total Effective Claims	84	minus	20	=	64	X	\$ 18.00	=	\$1,152.00
Independent Claims	9	minus	3	=	6	х	\$ 84.00		\$504.00
			1	1			Subtotal:		\$1,656.00

Assignment Recording Fee

40.00

If one of the "small entity" boxes above is checked, enter half (1/2)

of the second subtotal and subtract.

\$.00

TOTAL ADDITIONAL FEE ENCLOSED:

\$1,696.00

- Please charge Deposit Account No. 19-3878 in the amount of \$\_\_\_\_\_\_A duplicate copy of this sheet is attached.
- [X] A Squire, Sanders & Dempsey L.L.P. in the amount of \$1,696.00 is attached.

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R.§§1.16-1.18 (deficiency only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-3878 for which purpose a duplicate copy of this sheet is attached.

Respectfully submitted,

William R. Bachand

Registration No. 34,980

SQUIRE, SANDERS & DEMPSEY L.L.P. Two Renaissance Square 40 North Central Avenue, Suite 2700 Phoenix, Arizona 85004-4498 (602) 528-4100



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**COPY OF PAPERS ORIGINALLY FILED** 

Commissioner for Patents Washington, DC 20231

### Commissioner:

## In the Drawing

Please replace the drawing with the enclosed formal drawing (Figures 1-26I – 31 pages).

Prior to examination please amend the subject application as follows: 3FCEIVED

## In the Claims

2

6

APR 2 5 2002

Please add the following claims 3-84.

ecnnology Center 2100

A method comprising;

a step for conveying data in a first protected transfer to deliver a permit; and

a step for conveying data in a second protected transfer to deliver a product.

4. The method of claim 3 further comprising:

a step for receiving at least two reports during a time period;

a step for grouping reports into tuples of related reports; 3

a step for determining whether a particular report remains unmatched; 4

a step for determining whether a particular tuple remains incomplete; and 5

a step for providing notice of a breach of security in accordance with at least one of

1

whether the particular report remains unmatched and whether the particular tuple remains 7

incomplete. 04/24/2002 RMEBRAHT 00000119 10041906

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